ARQ Diversity in Fading Random Access Channels

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Abstract

A cross-layer optimization approach is adopted for the design of symmetric random access wireless systems. Instead of the traditional collision model, a more realistic physical layer model is considered in our work. Based on this model, an Incremental Redundancy Automatic Repeat reQuest (IR-ARQ) scheme, tailored to **jointly** combat the effects of collisions, multi-path fading, and additive noise, is developed. The Diversity-Multiplexing-Delay tradeoff (DMDT) of the proposed scheme is analyzed for fully-loaded queues, and compared with that of Gallager tree algorithm for collision resolution and the network-assisted diversity multiple access (NDMA) protocol of Tsatsanis *et al.*. The fully-loaded queue model is then replaced by one with random arrivals, under which these protocols are compared in terms of the stability region, average delay and diversity gain. Overall, our analytical and numerical results establish the superiority of the proposed IR-ARQ scheme and reveal some important insights. For example, it turns out that the performance is optimized, for a given total throughput, by maximizing the probability that a certain user will send a new packet and minimizing the transmission rate employed by each user.

Index Terms

cross-layer optimization, random access channel, incremental redundancy, automatic repeat request (ARQ), diversity-multiplexing tradeoff

I. BACKGROUND

We consider a random access system with symmetric users who compete to communicate with a common receiver, or a base station (BS). Traditional approaches for analyzing such systems use the simplified collision model ([20] and references therein), which assumes that a message is received error-free by the BS **if and only if** a single user transmits. Under this model, several protocols, which attempt to avoid collisions, have been proposed in the literature. One good example of such protocols is Gallager tree algorithm (GTA) [11]. The collision model, however, does not adequately capture some important characteristics of the wireless channel, e.g., multi-path fading, and ignores certain physical layer (PHY) properties like multi-packet reception (MPR) [6], [13]. Recently, several researchers have started to focus on cross-layer optimization approaches which leverage the wireless medium to improve the performance of random access systems. For example, Naware *et al.* [13] analyzed the stability and average delay of slotted-ALOHA based random access channels with MPR at the BS. This analysis, however, abstracts out the physical layer parameters by using a very simplified model for MPR probabilities. Another example is [3] where Tsatsanis *et al.* propose the Network-assisted Diversity Multiple Access (NDMA) protocol, which uses a **repetition based** Automatic Repeat reQuest (ARQ) approach for collision resolution. As argued in the sequel, this protocol suffers from a significant loss in throughput resulting from repetition coding. In [1], Caire *et al.* analyzed the throughput of incremental redundancy (IR)-ARQ for the Gaussian collision channel with fully-loaded[1] queues and single-user decoders at the base station. By adopting the fully-loaded queuing model, this work ignores the stability issues that arise in practical random access systems with random arrivals. Moreover, the single-user decoders used in this work are sub-optimal and result in considerable throughput losses. To overcome the limitations of these previous works, we adopt a more realistic model for the physical layer, and develop a variant of IR-ARQ protocols optimized for random access over wireless channels, in the next section.

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[1]Each queue has infinite packets for transmission.

II. ARQ RANDOM ACCESS

In this section, we introduce our system model and briefly review two existing random access schemes; namely, the GTA and NDMA protocols. To the best of the authors' knowledge, these two approaches represent the state of the art in the design of random access protocols. More specifically, the GTA algorithm belongs to the class of tree collision resolution techniques whereas the NDMA algorithm serves as a representative for the class of collision resolution schemes that employ repetition ARQ. We then present an IR-ARQ random access protocol that overcomes the limitations of these existing protocols.

A. System Model

We consider a K-user symmetric random access channel with M antennas at each user and N antennas at the receiver (base station). We assume that all the channels are independent and experience Rayleigh-flat and long-term static block fading where the channel coefficients remain constant during one collision resolution (CR) epoch and change independently from one epoch to the next (a CR epoch will be defined rigorously in the next section). The channel coefficients are assumed to be perfectly known to the BS, but unknown to the random access users. We consider individual power constraints on the users, and denote the average received signal-to-noise ratio (SNR) of each user by ρ. In our model, time is slotted and a slot is composed of T channel uses. In order to control the number of users colliding in any particular slot, each user selects a slot for transmitting a new packet according to the probability-p_t rule: in every slot, each user with a non-empty queue transmits a packet with probability p_t and does not transmit with probability $1 - p_t$, where $0 < p_t \leq 1$. We assume that the BS can perfectly identify the set of active users (by assigning a different control channel to each user). We initially assume fully-loaded queues in Section III, and then relax this assumption and consider a queuing system with random arrivals in Section IV.

B. Gallager Tree Algorithm (GTA)

This algorithm was proposed by Gallager [11] for the random access channel under the simplified collision model. The extension of this algorithm to our channel model mainly includes the probability-p_t rule and an explicit assumption that the base station does not try to decode in the case of a collision. We describe the extended GTA as follows. The traffic in the channel is interpreted as a flow of collision resolution (CR) epochs. At the beginning of a CR epoch, each user uses the probability-p_t rule to decide whether it should (or should not) transmit in that epoch. If none of the users choose to transmit, the slot remains idle and a new CR epoch starts from the following slot. If only one user chooses to transmit, then the message is assumed to be successfully decoded at the BS, and a new CR epoch begins from the following slot. But when a collision occurs, i.e., more than one user chooses to transmit in the current slot, the system enters into a CR mode, and only the users that participated in the collision at the beginning of a CR epoch are allowed to transmit until the end of that CR epoch. The colliding users are randomly split into two different groups according to a fair random split, wherein each user has an equal probability of joining either of the groups. A CR epoch is finished when all the users who have initiated it and not been excluded (or *pruned*) by the tree algorithm, obtain a slot to transmit their packets without collisions. We omit the detailed description of the algorithm for brevity and refer interested readers to [10], [11], [12].

C. Orthogonal Network-Assisted Diversity Multiple Access (O-NDMA)

The NDMA protocol was proposed by Tsatsanis *et al.* [3] and relies on the use of time diversity through a repetition ARQ scheme to resolve collisions between users. At the beginning of each CR epoch, the transmission of each user will be determined by the probability-p_t rule as in the GTA protocol. If none or only a single user choose to transmit, then the next CR epoch starts from the following slot as before. However, when k (≥ 2) users transmit, then all those users repeat their transmissions in the next $(k-1)$ slots. At the end of k slots, the BS is assumed to be able to reliably decode the k packets, by utilizing time-diversity, and a new CR epoch begins from the next slot. The NDMA protocol is known to be effective in short-term

static channels, where the channel fading coefficients change after each slot [5].

In [4], Zhang *et al.* proposed a new variant of the NDMA protocol which does not rely on time diversity to resolve/detect collisions. This variant, named B-NDMA, relies on a blind signal separation method utilizing a Vandermonde mixing matrix constructed via specially designed user retransmissions. In the B-NDMA, the detection and resolution of a k-user collision require $(k+1)$ slots. However, in this paper, we assume the use of separate control channels for collision detection; which allows for a slightly more efficient version of the NDMA protocol, named orthogonal NDMA (O-NDMA), which requires only k slots to resolve a k-user collision, without relying on temporal diversity. The behavior of users in O-NDMA is the same as that in NDMA, with the only difference that in case of a k-user collision, user i transmits its symbols scaled by $(w_i)^\ell$, in the ℓ-th slot after the initial collision. Here, w_i's are assumed to be *coordinated* by the BS, and are given by $w_i = e^{j2\pi i/k}$, where $i = 1, \cdots, k$ and $j = \sqrt{-1}$. At the end of the kth slot, the BS utilizes the orthogonal mixing matrix constructed using the w_i's to decompose the joint decoding problem into k single-user problems, and performs single-user decoding for each user involved in the collision. For example, suppose that user 1 and user 2 have collided ($k = 2$), and user i's codeword is $\mathbf{x}_i$, for $i = 1, 2$. Then, the BS coordinates the users so that user 1 repeats $\mathbf{x}_1$ whereas user 2 transmits $-\mathbf{x}_2$, in the slot following the collision. To decode user 1, the BS calculates the sum of the received vectors in the two slots, while to decode user 2, it takes the difference (i.e., matched filtering). This way, the multi-user interference is removed, and single-user decoders can be utilized to recover the two packets. It is worth noting that the O-NDMA requires synchronization at the symbol level to facilitate the interference cancellation approach described above. Hence, our results for the O-NDMA can be interpreted as optimistic upper bounds on the performance of repetition based random access protocols.

However, O-NDMA is still sub-optimal for two reasons. First, the BS might be able to *decode*[2] the messages of k colliding users in less than k time slots. Conversely, it is also possible that k time slots are insufficient for the successful decoding of the k packets. Thus, such a static strategy

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[2]Multiple messages can be jointly decoded in a single transmission block, with an arbitrary small error probability, if a rate-tuple lies within the capacity region of the channel and a sufficiently large block length is used [19].

may result in a throughput loss. Second, the O-NDMA protocol is essentially **a repetition based** collision resolution mechanism. Although this results in a low-complexity decoder at the BS, the throughput performance is highly sub-optimal, as shown rigorously in the sequel. A significant improvement in the throughput can be achieved by allowing for IR transmissions from the colliding users within the CR epoch, and using joint decoding, across ARQ rounds and users, at the base-station (as discussed next).

D. IR-ARQ Random Access

To overcome the disadvantages of the existing protocols, we propose a new IR-ARQ random access protocol operating as follows. Each user encodes an information message (packet) of B_T bits using a codebook of length-LT codewords, where L is an integer denoting a deadline constraint on the transmission delay (i.e., a constraint on the maximum number of allowed ARQ rounds). Codewords are divided into L sub-blocks of length T. At the beginning of each CR epoch, the users choose to transmit or not based on the probability-p_t rule as before. Once a user chooses to transmit in a particular slot, it transmits its first T symbols during that slot. On receiving signals at the end of a slot, the BS uses a joint decoder that decodes the received observations both across users and ARQ rounds. If the receiver successfully decodes **all** the transmitted messages, it feeds back an ACK bit; otherwise, it returns a NACK signal. On receiving an ACK, the CR epoch is terminated and a new CR epoch starts from the next slot. Thus a CR epoch can be defined as the time between two successive ACKs from the receiver (we observe that this definition requires the BS to return an ACK message after an idle slot). On the other hand, if a NACK is received, each colliding user sends its second sub-block of T codeword symbols in the next slot, while all the other users remain silent. The ACK/NACK rule applies in a similar manner, until the Lth slot is reached (after $(L-1)$ consecutive NACKs). In this case, the receiver sends an ACK regardless of its decoding result. If a certain message is decoded after ℓ ARQ rounds, the effective coding rate for the corresponding user becomes R/ℓ bits per channel use (BPCU), where $R = (B_T/T)$ denotes the rate computed assuming only one transmission round. Finally, we note that, unlike the O-NDMA, the IR-ARQ protocol requires

only slot-synchronization.

III. The Diversity-Multiplexing-Delay Tradeoff (DMDT)

In this section, we analyze the DMDT of the proposed IR-ARQ protocol and contrast it with our two benchmark protocols under the assumption of fully-loaded queues. The "fully-loaded" assumption allows for analyzing the maximum achievable throughput without focusing on the stability and average delay issues, for the moment.

A. Definitions

We borrow the notion of DMDT from [7]. This notion is a generalization of the Zheng-Tse diversity-multiplexing tradeoff (DMT) which characterizes the fundamental limits of fading channels in the high SNR regime [8]. The delay here refers to the **maximum transmission delay** corresponding to our upper bound L on the number of ARQ rounds (including the first one). In particular, we consider a family of ARQ protocols where the size of the information messages $B_T(\rho)$ depends on the operating SNR ρ. These protocols are based on a family of space time-codes $\{C_\rho\}$ with a first round rate of $R(\rho) = B_T(\rho)/T$ and an overall block length TL. For this family of protocols, we define the first round multiplexing gain r and the **effective ARQ multiplexing gain** r_e as

$$r = \lim_{\rho \to \infty} \frac{R(\rho)}{\log_2 \rho} \qquad \text{and} \qquad r_e \triangleq \lim_{\rho \to \infty} \frac{\eta_{FL}(\rho)}{\log_2 \rho}. \tag{1}$$

Here $\eta_{FL}(\rho)$ is the average throughput of the ARQ protocol in the random access channel with Fully-Loaded (FL) queues, i.e.,

$$\eta_{FL}(\rho) = \lim_{s \to \infty} \frac{b(s)}{sT}, \tag{2}$$

where s is the slot index and $b(s)$ is the total number of message bits transmitted up to slot s. Note that the message bits received in error at the BS are also counted in $b(s)$. The **effective ARQ diversity gain** is defined as

$$d = -\lim_{\rho \to \infty} \frac{\log_2 P_e(\rho)}{\log_2 \rho}, \tag{3}$$

where $P_e(\rho)$ is the system error probability, which is defined as the probability that at least one of the messages is not correctly decoded by the BS. In the symmetric random access channel, the diversity gain obtained from (3) is the same as the diversity gain of each individual user, since [9]

$$P_{e(i)}(\rho) \leq P_e(\rho) \leq \sum_{j=1}^{K} P_{e(j)}(\rho), \quad \forall i \in \{1, \cdots, K\}, \tag{4}$$

where $P_{e(i)}(\rho)$ is the error probability of the ith user. In summary, the DMDT of a certain protocol characterizes the set of achievable tuples (d, r_e, L) (here, we observe that our results are information theoretic in the sense that we assume the use of random Gaussian codebooks [8]).

In our analysis, we will make use of the results of Tse et $al.$ on the diversity-multiplexing tradeoff of **coordinated** multiple access channels [9], where the access mechanism is controlled by the base-station. In the sequel, we denote the diversity gain of the coordinated multiple access channel with k users as $d_k^{MAC}(r)$, which is given by

$$d_k^{MAC}(r) = \begin{cases} d^{M,N}(r), & r \leq \min\{M, \frac{N}{k+1}\} \\ d^{kM,N}(kr), & r \geq \min\{M, \frac{N}{k+1}\} \end{cases}, \tag{5}$$

where $d^{M,N}(r)$ is the diversity gain of the point-to-point channel with M transmit and N receive antennas, and multiplexing gain r, as given in [8].

In the ARQ setting, we denote the event that a NACK is transmitted in the ℓth ARQ round, when k users are transmitting simultaneously, by $\bar{\mathcal{A}}_k(\ell)$, for $\ell = 1, \cdots, L-1$, and the error event in the Lth round by $\bar{\mathcal{A}}_k(L)$. We also denote the complement of $\bar{\mathcal{A}}_k(\ell)$ by $\mathcal{A}_k(\ell)$. We define the quantities

$$\alpha_k(\ell) \triangleq \text{Pr}\left(\bar{\mathcal{A}}_k(1), \cdots, \bar{\mathcal{A}}_k(\ell-1), \mathcal{A}_k(\ell)\right) \quad \text{and} \quad \beta_k(\ell) \triangleq \text{Pr}\left(\bar{\mathcal{A}}_k(1), \cdots, \bar{\mathcal{A}}_k(\ell)\right), \tag{6}$$

for $\ell = 1, \cdots, L$, where, by definition, we let $\beta_k(0) = 1$, for $k = 1, \cdots, K$. Note that $\alpha_k(\ell)$ is the probability that the length of a CR epoch is ℓ (slots), given that k users have collided initially. Following the approach of [3], we classify the CR epochs from the viewpoint of a particular user (say user 1) into either *relevant* or *irrelevant* epochs, depending on whether a packet of that particular user is being transmitted in that CR epoch or not. The lengths of the relevant and the irrelevant epochs of user 1 are random variables, which are denoted by U and

V, respectively. For notational convenience, we denote the pmf of a Bernoulli random variable with population K and probability of success p by, $\mathcal{B}(K, k, p) \triangleq \binom{K}{k} p^k (1-p)^{K-k}$.

B. Main Results

First, we characterize the DMT for GTA (note that we do not have a deadline in this protocol, and hence, no limit on the maximum transmission delay).

Proposition 1: The DMT for GTA with a given $p_t \in (0, 1]$ is

$$d^{GTA}(r_e) = d_1^{MAC}\left(\frac{\sum_{k=0}^{K} \mathcal{B}(K, k, p_t)\mathcal{X}_k}{\sum_{k=0}^{K} \mathcal{B}(K, k, p_t)J_k} r_e\right), \tag{7}$$

where $\mathcal{X}_k$ and J_k can be found by the following recursions:

$$\mathcal{X}_k = 1 + \mathcal{B}(k, 0, 0.5)\mathcal{X}_k + \mathcal{B}(k, 1, 0.5)(1 + \mathcal{X}_{k-1}) + \sum_{i=2}^{k} \mathcal{B}(k, i, 0.5)\mathcal{X}_i , \tag{8}$$

$$J_k = \mathcal{B}(k, 0, 0.5)J_k + \mathcal{B}(k, 1, 0.5)(1 + J_{k-1}) + \sum_{i=2}^{k} \mathcal{B}(k, i, 0.5)J_i , \tag{9}$$

for $k = 2, 3, \cdots$, with $\mathcal{X}_0 = \mathcal{X}_1 = 1$ and $J_0 = 0$, $J_1 = 1$.

Proof: Noticing that the CR epoch termination event is a renewal event under the fully-loaded assumption, the result can be easily derived by extending the recursion analysis in [12] and using the renewal-reward theorem [21]. The details are omitted here due to space limitation. ∎

Since the GTA protocol is inspired by the simplified collision model, the main idea is to assign a single slot exclusively for transmission of each colliding user (assuming it was not pruned by the algorithm). The resulting DMT, therefore, is given in terms of a single-user performance, i.e., $d_1^{MAC}(.)$. The main drawback of the algorithm is the relatively large number of slots needed to resolve each collision, which translates into a loss in the effective multiplexing gain, i.e., the argument of $d_1^{MAC}(.)$ in (7). It is now easy to see that the GTA protocol cannot achieve the full effective multiplexing gain of the multiple access channel, i.e., $\min\{KM, N\}$. An example highlighting this fact will be provided in the later part of this section. On the other hand, the DMT in (7) reveals the performance dependence on p_t (and r), which implies the possibility of maximizing the diversity gain by choosing the appropriate values, p_t^* and r^* for

each $r_e \in [0, \min\{KM, N\})$. At the moment, we do not have a general analytical solution for this optimization problem. However, the solution for the special case of two users is obtained in Section III-C.

Next, we characterize the optimal DMT for the O-NDMA protocol (Again we do not have a delay parameter in the tradeoff since the number of ARQ rounds is **always** equal to the number of colliding users).

Proposition 2: The *optimal* DMT for O-NDMA is,

$$d^{ONDMA}(r_e) = d_1^{MAC}(r_e). \tag{10}$$

Proof: The results here can be straightforwardly derived from the average throughput analysis in [3]. In particular, the DMT for the O-NDMA protocol with a given $p_t \in (0, 1]$ and first round multiplexing gain r is

$$d^{ONDMA}(r_e) = d_1^{MAC}(r) \quad \text{where} \quad r = \frac{Kp_t + (1-p_t)^K}{Kp_t} r_e, \tag{11}$$

noting that the average SNR of each single-user decoder is still ρ. It is easy to find that the optimal values $(r^*, p_t^*) = (r_e, 1)$, which yields (10). We omit the details due to space limitation. ∎

The matched-filter-like structure utilizing the orthogonality of transmissions over different slots allows the O-NDMA protocol to achieve the single-user performance, as we see from (11). Furthermore, $p_t^* = 1$ ensures that the throughput is maximized, and the optimal DMT is given by (10). By comparing the expressions in (7) and (10), we realize that the O-NDMA protocol achieves a larger diversity gain, as compared with the GTA protocol, for any r_e less than $\min\{M, N\}$.

Finally, the optimal DMDT of the IR-ARQ random access protocol is characterized in the following theorem.

Theorem 3: The *optimal* DMDT for the IR-ARQ protocol is,

$$d^{IR}(r_e, L) = d_K^{MAC}\left(\frac{r_e}{KL}\right). \tag{12}$$

Proof: (sketch) First, we assume an asymptotically large block length $T \to \infty$ to allow our error correction (and detection) scheme to operate arbitrarily close to the channel fundamental

limits. An application of the renewal-reward theorem [21] gives

$$\eta_{FL} = \frac{p_t K R}{1 + \sum_{k=1}^{K} \mathcal{B}(K, k, p_t) \sum_{\ell=1}^{L-1} \beta_k(\ell)} . \tag{13}$$

In addition, given that joint typical-set decoders[3] [19] are used for the channel output over slots 1 to ℓ in ARQ round ℓ, extending the results in [7] and [9], the probability of error P_e is upper-bounded by,

$$P_e \leq \sum_{k=1}^{K} \mathcal{B}(K, k, p_t) \beta_k(L). \tag{14}$$

Noting that in the high SNR regime $\beta_k(\ell)$ approaches

$$\lim_{\rho \to \infty} \beta_k(\rho, \ell) = \mathbf{1}\left(r > \min\left\{\ell M, \frac{\ell N}{k}\right\}\right) \triangleq \begin{cases} 0, & r < \min\{\ell M, \frac{\ell N}{k}\} \\ 1, & r > \min\{\ell M, \frac{\ell N}{k}\} \end{cases}, \tag{15}$$

we find the DMDT with a given $p_t \in (0, 1]$ as

$$d^{IR}(r_e, L) = d_K^{MAC}\left(\frac{r}{L}\right), \tag{16}$$

where r can be obtained from r_e using the relation (for $0 \leq r \leq \min\{M, N\}$),

$$r_e = \frac{p_t K r}{1 + \sum_{k=1}^{K} \mathcal{B}(K, k, p_t) \sum_{l=1}^{L-1} \mathbf{1}\left(r > \min\{lM, \frac{lN}{k}\}\right)} , \tag{17}$$

from (13–15), and the results in [7], [9]. Finally, we find the optimal values $(r^*, p_t^*) = (\frac{r_e}{K}, 1)$, which gives (12). A detailed proof is provided in Appendix I. ∎

Two remarks are now in order.

1) First, we elaborate on the intuitive justification for the optimal values $(r^*, p_t^*) = (\frac{r_e}{K}, 1)$ for the IR-ARQ protocol. In the asymptotic case with $\rho \to \infty$, the error probability is dominated by the worst case K-user collision for any $p_t \in (0, 1]$, which does not depend on ρ by definition. This implies that choosing $p_t = 1$ will maximize the average throughput, without penalizing the asymptotic behavior of the error probability. Now with $p_t = 1$, choosing $r^* = \frac{r_e}{K} < \min\{M, \frac{N}{K}\}$ will result in an effective multiplexing gain equal to r_e and will minimize the number of rounds needed to decode the colliding messages, since each user is transmitting at a small rate. Furthermore, it is clear that with this choice of

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[3]Typical-set decoders have an inherent ability to detect errors.

r^*, we can achieve any desired effective multiplexing gain less than $\min\{KM, N\}$ (the degrees of freedom in the coordinated multiple access channel).

2) Comparing Propositions 1, 2 and Theorem 3, it is straightforward to verify that the DMT of the IR-ARQ protocol is **always** superior to that of the GTA and O-NDMA protocols. This advantage of IR-ARQ is a manifestation of the **ARQ diversity** resulting from the IR transmission and joint decoding. More specifically, the ARQ diversity **scales down** the effective multiplexing in the right hand side of (12), and hence, results in an increased diversity advantage (since $d_K^{MAC}(.)$ is a decreasing function in its argument). The O-NDMA protocol does not allow for **efficiently** exploiting the ARQ diversity due to the sub-optimality of repetition based ARQ.

C. Examples

We numerically illustrate the gains offered by the IR-ARQ protocol, as compared with the GTA and O-NDMA protocols, in the following two examples.

1) Two-User Scalar Random Access Channels: We consider the single-antenna 2-user random access channel, i.e., $M = N = 1$ and $K = 2$. Substituting these parameters in Proposition 1, we obtain the DMT for the GTA protocol as, $d^{GTA}(r_e) = d_1^{MAC}\left(\frac{1+3p_t^2}{2p_t}r_e\right) = 1 - \left(\frac{1+3p_t^2}{2p_t}\right)r_e$. In order to maximize the effective multiplexing gain that achieves nonzero diversity gain, we need to choose $p_t = 1/\sqrt{3} \approx 0.577$, which yields the optimal DMT for GTA as $d^{GTA*}(r_e) = 1 - \sqrt{3}r_e, \ 0 \leq r_e < \frac{1}{\sqrt{3}}$. The optimal DMT of the O-NDMA and IR-ARQ protocols are obtained from Proposition 2 and Theorem 3. Fig. 1 compares the tradeoffs of the three protocols where the IR-ARQ protocol is shown to dominate our two benchmarks, with both $L = 1, 2$. Even though O-NDMA achieves the nominal single-user DMT **without multi-user interference**, i.e., $d(r_e) = 1 - r_e, \forall r_e < 1$, it is still worse than IR-ARQ, since it wastes slots to facilitate single-user decoding and relies on the repetition ARQ. In addition, as L increases from 1 to 2, the DMDT of IR-ARQ improves, as expected.

2) Two-User Vector Random Access Channels: We consider a 2-user vector random access channel with $M = 1$ and $N = 2$. By allowing multiple antennas at the BS, the total degrees of

freedom of the system is increased by a factor of 2, as compared with the scalar channel in the previous example. The tradeoffs achieved by the three protocols in this scenario are shown in Fig. 2. First, we observe that the three protocols achieve an increased diversity gain, for a given r_e, when compared with the scalar channel in Fig. 1. However, the full effective multiplexing gain, $r_e = 2$, is not achieved by the GTA and O-NDMA protocols, since these two protocols exclude the possibility of first-round decoding when a collision occurs. The IR-ARQ protocol, on the other hand, achieves $r_e = 2$, and the DMDT further improves as L increases.

IV. RANDOM ARRIVALS

In this section, we relax the assumption of fully loaded queues adopted in Section III. In addition to the traditional measures of stability region and average delay commonly used in this set-up, we also consider the probability of error. In particular, for the proposed IR-ARQ protocol, we will show, through numerical results, that the choice of the transmission-delay constraint L determines an **interesting tradeoff** between the average delay and error probability: For typical SNR, a larger L leads to an increase in the average delay along with a decrease in the error probability.

We consider infinite-length queues at the users, that are fed by randomly-arriving packets of a fixed length of B_A information bits. For simplicity, we assume that $B_T = B_A = B$, i.e., the arrival packet size and the transmission packet size are the same. Thus the first-round transmission rate R is equal to the arrival rate $R_A = (B/T)$. To emphasize that R is a system parameter determined by the arrival packet size, we denote the first-round multiplexing gain r by r_A in this section, and call it *the arrival multiplexing gain*. The **packet arrival** rate of user i is $\lambda_i = \lambda/K$ packets/slot, where λ denotes the total packet arrival rate, where arrivals are assumed to be independent across users.

A. Stability and Average Delay

We use the following notion of stability [22]: let $\mathbf{g}(m) \triangleq (g_1(m), \cdots, g_K(m))$ be the vector of the backlogs at the beginning of CR epoch m. Then, queue i of the system is stable if

$\lim_{m \to \infty} \Pr\left(g_i(m) < \bar{g}\right) = F(\bar{g})$ and $\lim_{\bar{g} \to \infty} F(\bar{g}) = 1$. Furthermore, we say that the system is stable if all the K queues in the system are stable.

The stability region of the GTA protocol can be found using the techniques in [12] as

$$\lambda < \frac{\sum_{k=0}^{K} \mathcal{B}(K, k, p_t) J_k}{\sum_{k=0}^{K} \mathcal{B}(K, k, p_t) \mathcal{X}_k} , \tag{18}$$

and the stability region of the O-NDMA protocol can be found similarly, as in [5], to be

$$\lambda < \frac{K p_t}{K p_t + (1 - p_t)^K}. \tag{19}$$

From the literature ([13] and references therein), we find only limited analytical results on the average delay of slotted ALOHA channels. In this paper, we present only numerical results for the average delay of the GTA and O-NDMA protocols, and provide an approximate delay analysis for the proposed IR-ARQ protocol. The average delay of the IR-ARQ scheme can be approximated by using the analysis of the M/G/1 queue with vacations [20], following the approach of Tsatsanis *et al.* [3]. This analysis yields only an approximation of the average delay, since the CR epoch lengths of the IR-ARQ scheme are dependent on the traffic load (and hence are not independent and identically distributed (i.i.d.) as needed for the result to hold). However, as we will see, the i.i.d. property holds in the limit of $\rho \to \infty$, and hence, our result becomes asymptotically accurate. We also note that as K increases, this approximation becomes progressively more accurate [3]. We summarize our results in the following theorem.

Theorem 4: Assuming that $\exists\ \ell < \infty$ with $\ell \in \{1, \cdots, L\}$, such that $\alpha_K(\ell) > 0$, the necessary and sufficient condition for the stability of the IR-ARQ protocol is (in packets/slot)

$$\lambda < \frac{\eta_{FL}}{R} = \frac{p_t K}{1 + \sum_{k=1}^{K} \mathcal{B}(K, k, p_t) \sum_{\ell=1}^{L-1} \beta_k(\ell)} . \tag{20}$$

For Poisson arrivals, when λ satisfies (20), the average delay is *approximately* given by (in slots)

$$D \approx \mathbb{E}[U] + \left(\frac{1}{p_t} - 1\right) \mathbb{E}[V] + \frac{\lambda \left(\mathbb{E}[U^2] + \frac{(2-p_t)(1-p_t)}{p_t^2} \mathbb{E}[V^2] + 2\left(\frac{1}{p_t} - 1\right) \mathbb{E}[U] \mathbb{E}[V]\right)}{2\left(K - \lambda\left(\mathbb{E}[U] + \left(\frac{1}{p_t} - 1\right) \mathbb{E}[V]\right)\right)} + \frac{\mathbb{E}[V^2]}{2 \mathbb{E}[V]} , \tag{21}$$

where the expected values are evaluated as,

$$\mathbb{E}[U] = 1 + \sum_{k=1}^{K} \mathcal{B}(K-1, k-1, p) \sum_{\ell=1}^{L-1} \beta_k(\ell) \quad ; \quad \mathbb{E}[U^2] = 1 + \sum_{k=1}^{K} \mathcal{B}(K-1, k-1, p) \sum_{\ell=1}^{L-1} (2\ell + 1)\beta_k(\ell),$$

$$\mathbb{E}[V] = 1 + \sum_{k=1}^{K-1} \mathcal{B}(K-1,k,p) \sum_{\ell=1}^{L-1} \beta_k(\ell) \quad ; \quad \mathbb{E}[V^2] = 1 + \sum_{k=1}^{K-1} \mathcal{B}(K-1,k,p) \sum_{\ell=1}^{L-1} (2\ell+1)\beta_k(\ell),$$

and $p \in (0,1]$ is a solution of the following equation:

$$Kp = \lambda \left[1 + \sum_{k=1}^{K} \mathcal{B}(K,k,p) \sum_{\ell=1}^{L-1} \beta_k(\ell) \right]. \tag{22}$$

Moreover, the delay expression in (21) holds with probability 1 if U and V are i.i.d. and U and V are mutually independent.

Proof: See Appendix II. ∎

A few remarks are now in order:

1) The assumption in Theorem 4 always holds when L is finite since the length of any CR epoch is bounded by L. If $L = \infty$, this assumption requires the existence of a non-zero probability that the length of an epoch is finite.

2) As $\rho \to \infty$, the stability region (20) approaches

$$\lambda < \frac{p_t K}{1 + \sum_{k=1}^{K} \mathcal{B}(K,k,p_t) \sum_{\ell=1}^{L-1} \mathbf{1}\left(r_A > \min\left\{\ell M, \frac{\ell N}{k}\right\}\right)}. \tag{23}$$

To achieve the maximum stability region, we need to maximize the right hand side of (23) over p_t. At the moment, we do not have a general solution for this problem. Thus, we present results only for one special case: $r_A < \min\{M, \frac{N}{K}\}$. In this case, the stability region is $\lambda < p_t K$, and the maximum stability region is thus given by $\lambda < K$ for the optimal choice of $p_t = 1$. This is a remarkable improvement over the O-NDMA protocol, whose maximum stability region is only $\lambda < 1$, for any r_A.

3) The diversity gain with random arrivals can be readily obtained from the results in the previous section. The only difference is that, unlike the fully-loaded case, one cannot optimize over r_A in this scenario. In summary, we find that the GTA, O-NDMA and IR-ARQ protocols achieve the diversity gains $d^{GTA}(r_A) = d^{ONDMA}(r_A) = d_1^{MAC}(r_A)$ and $d^{IR}(r_A) = d_K^{MAC}\left(\frac{r_A}{L}\right)$, respectively.

B. Examples

1) Two-User Scalar Random Access Channels: Here, we consider the random access channels with $M = N = 1$. For ease of analysis, we assume that $L \geq K = 2$ for the IR-ARQ scheme.

The stability region of the different random access protocols with $\rho \to \infty$ is summarized in Table I. In addition, the error probability, diversity gain and average delay are shown in Fig. 3, Fig. 4 and Fig. 5 respectively. Here, the stability region and diversity gain of the three protocols, and the average delay of the IR-ARQ protocol with $\rho \to \infty$, are obtained analytically. However, the average delay of the GTA and O-NDMA protocols, and the average delay of the IR-ARQ scheme with $\rho < \infty$ are obtained through numerical simulations. In these simulations, we use $R = r_A \log(1 + \rho)$ with $r_A = 0.45$, and $p_t = 1$ for the IR-ARQ and the O-NDMA protocols, while $p_t = \frac{1}{\sqrt{3}}$ for the GTA protocol. It is assumed that the transmission results in errors, if and only if the channel is in outage [2]; which is a valid assumption if T is sufficiently large. In addition, for the IR-ARQ protocol, it is assumed that the errors in ℓ^{th} round, where $\ell < L$, are always detected. We also note that when $r_A < 0.5$, the average delay expression for the IR-ARQ scheme, evaluated from Theorem 4, holds with probability 1, and is given by (when $p_t = 1$) $D = 1.5 + \frac{\lambda}{2(2-\lambda)}$.

Table I and Fig. 4 shows that both the stability region and diversity gain of the IR-ARQ protocol are the largest. Next, we focus on the delay and the error probability of IR-ARQ with different L's and different ρ's reported in Fig. 3 and Fig. 5. We observe that the delay approaches the asymptotic result with $\rho = \infty$, and the difference of the delay for IR-ARQ with $L = 2$ and with $L = 4$ decreases, as ρ increases, which agrees with the analytical results. Furthermore, Fig. 3 and Fig. 5 reveal an important insight into the relation between the performance of IR-ARQ and the transmission-delay constraint L, i.e., a tradeoff between average delay and error probability emerges. These figures suggest that for certain finite ρ's, a large L achieves a small error probability, at the expense of a large average delay and a small stability region. Therefore, depending on quality-of-service (QoS) requirements, L can be adjusted for achieving the best performance.

2) Two-User Vector Random Access Channels: Here, we consider the 2-user random access protocols with $M = 1$ and $N = 2$ in the high SNR regime ($\rho \to \infty$). We first note that the stability region and delay of the GTA and O-NDMA protocols are not different from the scalar case; only the diversity gain changes with this multiple-antenna setting. For the IR-ARQ

protocol, on the other hand, the average delay is given by $D = 1.5 + \frac{\lambda}{2(2-\lambda)}$ for any $r_A \in [0, 1)$, and the stability region is given by, $\lambda < 2, \ \ 0 \le r_A < 1$, with $p_t = 1$. Comparing the stability region of the vector IR-ARQ protocol with that of the scalar IR-ARQ protocol, we find that the vector IR-ARQ achieves a better stability region, especially when $r_A > 0.5$. Finally, Fig. 6 shows the diversity gain achieved with different random access protocols. As expected, the IR-ARQ protocol achieves the best diversity gain, which improves as L increases.

V. CONCLUSIONS

We have proposed a new wireless random access protocol which jointly considers the effects of collisions, multi-path fading, and channel noise. The proposed protocol relies on incremental redundancy transmission and joint decoding to resolve collisions and combat multi-path fading. This approach represents a marked departure from traditional collision resolution algorithms and exhibits significant performance gains, as compared with two benchmarks corresponding to the state of the art in random access protocols; namely GTA and O-NDMA. It is interesting to observe that, in order to fully exploit the benefits of the proposed IR-ARQ protocol, all the users with non-empty queues must transmit with probability one, when given the opportunity, and should use a small transmission rate. Finally, we have identified the tradeoff between average delay and error probability exhibited by the IR-ARQ protocol for certain SNRs, and have shown that this tradeoff can be controlled by adjusting the maximum number of ARQ rounds.

APPENDIX I

PROOF OF THEOREM 3

To find the long-term average throughput of IR-ARQ, we first focus on the distribution and the expected value of the relevant and the irrelevant epochs for user 1, U and V. The probability mass functions (pmf) of U and V are

$$\Pr(U = \ell) = \sum_{k=1}^{K} \mathcal{B}(K - 1, k - 1, p_t)\alpha_k(\ell), \quad (\ell = 1, \cdots, L), \tag{24}$$

$$\Pr(V = \ell) = \begin{cases} \sum_{k=1}^{K-1} \mathcal{B}(K - 1, k, p_t)\alpha_k(1) \ + \ (1 - p_t)^{K-1}, & \ell = 1, \\ \sum_{k=1}^{K-1} \mathcal{B}(K - 1, k, p_t)\alpha_k(\ell), & \ell = 2, \cdots, L \end{cases} \tag{25}$$

We introduce the relation shown in [7] for deriving the expected values of U and V:

$$\sum_{\ell=1}^{L} \left[\sum_{i=1}^{\ell} a_i \right] \alpha_k(\ell) = \sum_{\ell=1}^{L} a_\ell \beta_k(\ell-1), \tag{26}$$

for any $(a_1, \cdots, a_L) \in \mathbb{R}^L$. Using this relation, it is straightforward to get

$$\mathbb{E}[U] = \sum_{k=1}^{K} \mathcal{B}(K-1, k-1, p_t) \sum_{\ell=1}^{L} \beta_k(\ell-1) \quad ; \quad \mathbb{E}[V] = (1-p_t)^{K-1} + \sum_{k=1}^{K-1} \mathcal{B}(K-1, k, p_t) \sum_{\ell=1}^{L} \beta_k(\ell-1). \tag{27}$$

Now we are ready to present the following lemma:

Lemma 5: The average throughput of the proposed scheme is given by (13). In addition, the error probability P_e of IR-ARQ has an upper bound (14).

Proof: We first analyze the error probability P_e. An error occurs in the IR-ARQ system in two different cases: (i) when decoding failure is not detected at the BS and an ACK is fed back, or (ii) when decoding fails at round L. Let $E_k(\ell)$ denote the event that the decoder makes an error with ℓ received blocks when k users have collided in the first round. Then, we can upper-bound P_e as [7]

$$P_e = \sum_{k=1}^{K} \mathcal{B}(K, k, p_t) \sum_{\ell=1}^{L} \Pr(E_k(\ell), \text{an epoch length when } k \text{ users have collided} = \ell)$$

$$\leq \sum_{k=1}^{K} \mathcal{B}(K, k, p_t) \Pr(E_k(L), \bar{\mathcal{A}}_1, \cdots, \bar{\mathcal{A}}_{L-1}) + \epsilon = \sum_{k=1}^{K} \mathcal{B}(K, k, p_t) \beta_k(L) + \epsilon, \tag{28}$$

where $\epsilon \to 0$ as $T \to \infty$. The intuition behind this upper-bound is that the undetected error probability approaches zero as $T \to \infty$ for the joint typical-set decoder, and hence the error probability is dominated by the information outage probability. Now we analyze the long-term average throughput of the proposed IR-ARQ protocol using renewal theory [21]. Denoting the average throughput of user 1 by η_1, the average throughput of the symmetric system is given by $\eta_{FL} = K\eta_1$. Under the fully-loaded assumption, the event that a CR epoch terminates is a renewal event. We associate a random reward $\mathcal{R}$ to the occurrence of the renewal event. In particular, $\mathcal{R} = R$ BPCU if the CR epoch is a relevant epoch for user 1, and $\mathcal{R} = 0$ otherwise. Applying the renewal-reward theorem [21], we get

$$\eta_1 = \lim_{s \to \infty} \frac{b_1(s)}{sT} = \frac{\mathbb{E}[\mathcal{R}]}{\mathbb{E}[\mathcal{X}]} = \frac{p_t \cdot R + (1-p_t) \cdot 0}{p_t \cdot \mathbb{E}[U] + (1-p_t) \cdot \mathbb{E}[V]} = \frac{p_t R}{1 + \sum_{k=1}^{K} \mathcal{B}(K, k, p_t) \sum_{\ell=1}^{L-1} \beta_k(\ell)}, \tag{29}$$

utilizing (27). Since $\eta_{FL} = K\eta_1$, we obtain η_{FL} as given in (13). ∎

To find the relation between r_e and r, we examine the expression for η_{FL} in (13), and find that $\beta_k(\ell)$'s are the only terms that depend on ρ. Using the results in [7] and [9], we find[4],

$$\beta_k(\rho, \ell) \;\doteq\; \rho^{-d_k^{MAC}(r/\ell)} \;, \tag{30}$$

where $d_k^{MAC}(r)$ is given in (5). From the upper bound on P_e in (14), we find the diversity gain of the proposed IR-ARQ protocol as stated in the following corollary.

Corollary 6: The diversity gain of the proposed IR-ARQ protocol for the K-user random-access channel with M-transmit, N-receive antennas and deadline constraint L, is given by

$$d^{IR}(r, L) \;=\; d_K^{MAC}\left(\frac{r}{L}\right). \tag{31}$$

Proof: Given that p_t does not depend on ρ, it can be shown using (14) and (30) that the error probability $P_e(\rho)$ satisfies the exponential inequality $P_e(\rho) \dot{\leq} \rho^{-d_K^{MAC}(\frac{r}{L})}$, as $T \to \infty$. In addition, applying the outage bound in [7] to the random-access system yields $P_e(\rho) \dot{\geq} \rho^{-d_K^{MAC}(\frac{r}{L})}$. These two exponential inequalities imply (31). ∎

Noticing that the span of $d^{M,N}(r)$ is $r \in [0, \min\{M, N\})$ [8], we verify that the span of $d_k^{MAC}\left(\frac{r}{\ell}\right)$ is $r \in [0, \min\{\ell M, \frac{\ell N}{k}\})$. Then (15) can be readily verified as in the following. For $r < \min\{\ell M, \frac{\ell N}{k}\}$, it is obvious that $\beta_k(\rho, \ell) \to 0$ as $\rho \to \infty$ from (30). On the other hand, if $r > \min\{\ell M, \frac{\ell N}{k}\}$, then $\beta_k(\rho, \ell) \to 1$ as $\rho \to \infty$ since the outage probability approaches 1 as $\rho \to \infty$, and the error probability given the outage event approaches 1 as $T \to \infty$ by the strong converse [17]. Combining the results shown above, we get the DMDT of the proposed IR-ARQ protocol as (16), where the relation between r and r_e is given in (17).

Finally, we consider the optimal pairs (r^*, p_t^*) that achieve the largest diversity gain for a desired r_e. Regarding r_e in (17) as a function of r, we observe that $r_e(r)$ is discontinuous at the points $r = \min\{\ell M, \frac{\ell N}{k}\}$, $\ell = 1, \cdots, L - 1$ and $k = 1, \cdots, K$. We consider the values of r that lie within the first discontinuity of $r_e(r)$, i.e., $r \in [0, \min\{M, \frac{N}{K}\})$. For these r, (17) yields $r_e = p_t K r$. Since the diversity gain increases when r decreases, we want to determine

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[4]$A(\rho) \doteq \rho^{-b}$ implies $b = -\lim_{\rho \to \infty} \frac{\log_2 A(\rho)}{\log_2 \rho}$ as defined in [8]. $\dot{\leq}, \dot{\geq}$ are similarly defined.

the smallest value of r that achieves the desired r_e. We find that r is minimized when $p_t = 1$. Thus for $r \in [0, \min\{M, \frac{N}{K}\})$, the optimal choice of (r, p_t) achieving r_e is $(\frac{r_e}{K}, 1)$. Furthermore, we find that this choice achieves the maximum effective multiplexing gain given by the degrees of freedom of the channel $(\min\{KM, N\})$. Thus we do not need to consider the values of $r > \min\{M, \frac{N}{K}\}$, since it is clear from (17) that such r values result in a smaller diversity gain for the same desired r_e.

APPENDIX II

PROOF OF THEOREM 4

We consider the backlog evolution $\mathbf{g}(m)$ of IR-ARQ, where m is the *epoch* index. We observe that $\mathbf{g}(m)$ is an embedded Markov chain; $g_i(m)$, the backlog evolution of user i is,

$$g_i(m+1) = \begin{cases} g_i(m) - 1 + a_i(m), & g_i(m) > 0, \text{ with probability } p_t \\ g_i(m) + a_i(m), & g_i(m) > 0, \text{ with probability } 1 - p_t \\ a_i(m), & g_i(m) = 0, \end{cases} \tag{32}$$

where $a_i(m)$ is the number of packets that arrived at user i's queue during epoch m.

We first prove that (20) is the necessary and sufficient condition for the stability of IR-ARQ. One can straightforwardly prove that under the assumption that there is a finite ℓ with $\alpha_K(\ell) > 0$, $\mathbf{g}(m)$ is a homogeneous, irreducible and aperiodic Markov chain, by following the argument in the proof of Proposition 1 in [16]. Given that the Markov chain has these properties, stability of the system is equivalent to the existence of a limiting distribution for the Markov chain, and thus is also equivalent to ergodicity of the Markov chain [14], [15]. Sufficiency and necessity of (20) for the ergodicity can be straightforwardly proved by following the footsteps of the proof of Theorem 1 in [14]. In particular, we consider a stochastically dominant system, in which users are first chosen according to the probability-p_t rule, and those users with empty queues transmit *dummy* packets. It can be shown that (20) is a sufficient condition for the stability of the dominant system, which implies the stability of the original system. On the other hand, we observe that the bounded homogeneity property [15] holds for the Markov chain (32), and thus the instability of the dominant system implies the instability of the original system.

Next, we consider the approximate average delay. We denote the time period between the instance when a packet of user 1 reaches the head of its queue and the instance when it has finally been transmitted, by a random variable $\mathcal{Y}$ (slots). Then, the average delay D is given by the result for the M/G/1 queue with vacations [20],

$$D = \mathbb{E}[\mathcal{Y}] + \frac{\lambda\mathbb{E}[\mathcal{Y}^2]}{2(1 - \lambda\mathbb{E}[\mathcal{Y}])} + \frac{\mathbb{E}[V^2]}{2\mathbb{E}[V]}, \tag{33}$$

with probability 1, if $\mathcal{Y}$ is i.i.d. and V is i.i.d.. Here, the first moment of $\mathcal{Y}$ is calculated as,

$$\mathbb{E}[\mathcal{Y}] = \mathbb{E}[p_t U + p_t(1 - p_t)(U + V) + p_t(1 - p_t)^2(U + 2V) + \cdots]$$

$$= \mathbb{E}[U] + \left(\frac{1}{p_t} - 1\right)\mathbb{E}[V]. \tag{34}$$

On the other hand, the second moment of $\mathcal{Y}$ is calculated as,

$$\mathbb{E}[\mathcal{Y}^2] = \mathbb{E}[p_t U^2 + p_t(1 - p_t)(U + V)^2 + p_t(1 - p_t)^2(U + 2V)^2 + \cdots]$$

$$= \mathbb{E}[U^2] + \frac{(2 - p_t)(1 - p_t)}{p_t^2}\mathbb{E}[V^2] + 2\left(\frac{1}{p_t} - 1\right)\mathbb{E}[U]\mathbb{E}[V], \tag{35}$$

if U and V are independent. Substituting (34) and (35) in (33), the approximate delay expression in (21) can be readily obtained. The expected values of the steady-state epoch lengths, $\mathbb{E}[U], \mathbb{E}[U^2], \mathbb{E}[V]$ and $\mathbb{E}[V^2]$ used in the expression (21) are easy to derive utilizing (26), noticing that the pmf's for U and V are given by (24) and (25) with a substitution of p into p_t, where $p \triangleq p_t(1 - p_e)$ and p_e is the steady-state probability of a user's queue being empty.

Finally, to see that the steady-state transmission probability p can be found by solving the equation (22), we consider the method of the steady-state analysis [18] of the Markov chain $g_1(m)$, whose time-evolution is given by (32). Let us define the steady state values:

$$g_1 \triangleq \lim_{m\to\infty} g_1(m) \qquad \text{and} \qquad a_1 \triangleq \lim_{m\to\infty} a_1(m). \tag{36}$$

Then, taking expectation on both sides of (32) results in:

$$\mathbb{E}[g_1(m + 1)] = \mathbb{E}[g_1(m)] - p_t\mathrm{Pr}(g_1(m) > 0) + \mathbb{E}[a_1(m)]. \tag{37}$$

In the limit as $m \to \infty$, we have, $\mathbb{E}[g_1] = \mathbb{E}[g_1] - p_t\mathrm{Pr}(g_1 > 0) + \mathbb{E}[a_1]$, or $p = \mathbb{E}[a_1]$. Thus,

$$p = \frac{\lambda}{K}\left(p_t\mathrm{Pr}(g_1 > 0)\mathbb{E}[U] + (1 - p_t\mathrm{Pr}(g_1 > 0))\mathbb{E}[V]\right) = \frac{\lambda}{K}\left(p\mathbb{E}[U] + (1 - p)\mathbb{E}[V]\right), \tag{38}$$

which is equivalent to (22).

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TABLE I

STABILITY REGION OF DIFFERENT TWO-USER SCALAR RANDOM ACCESS PROTOCOLS

	Stability Region	Maximum Stability Region
GTA	$\lambda < 2p_t/(1 + 3p_t^2)$	$\lambda < 1/\sqrt{3}$, with $p_t = 1/\sqrt{3}$
O-NDMA	$\lambda < 2p_t/(2p_t + (1 - p_t)^2)$	$\lambda < 1$, with $p_t = 1$
IR-ARQ	$\begin{cases} \lambda < 2p_t, & r_A < 0.5, \\ \lambda < 2p_t/(1 + p_t^2), & r_A > 0.5. \end{cases}$	$\begin{cases} \lambda < 2, & r_A < 0.5, \\ \lambda < 1, & r_A > 0.5. \end{cases}$, with $p_t = 1$.
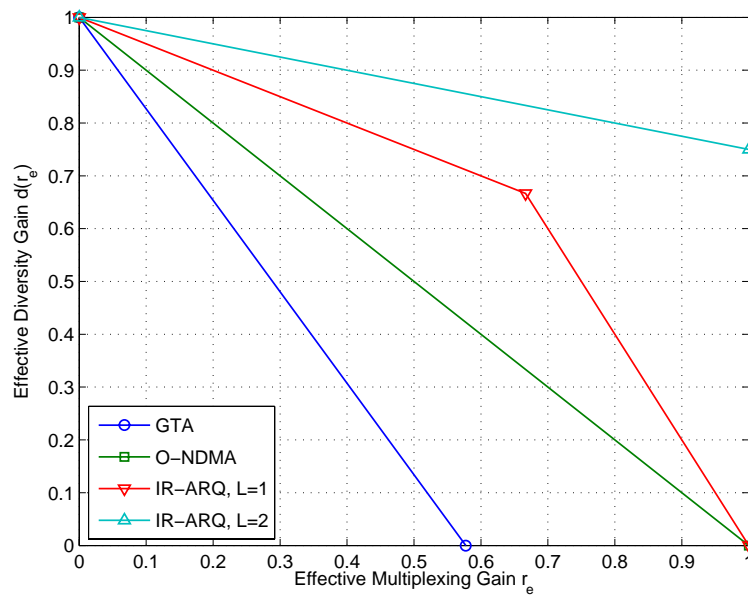
Fig. 1.   Diversity-multiplexing tradeoff for various two-user scalar random access systems.
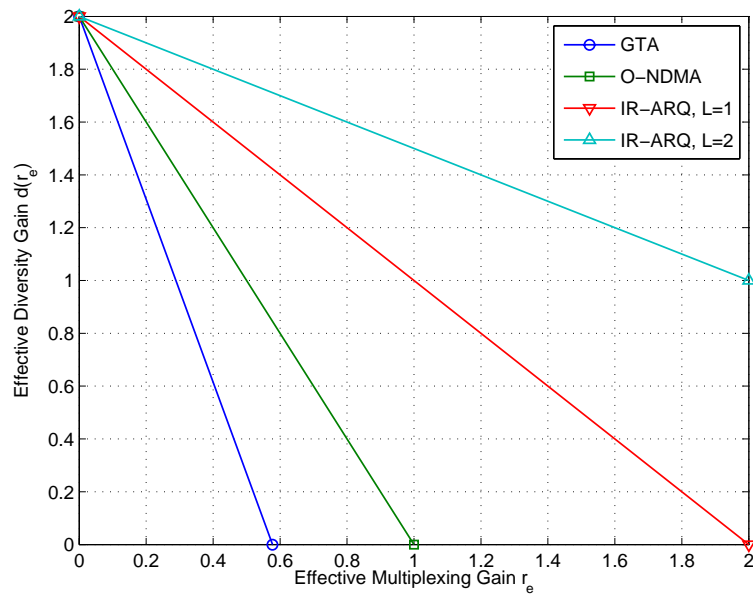
Fig. 2.   Diversity-multiplexing tradeoff for various two-user vector random access systems.
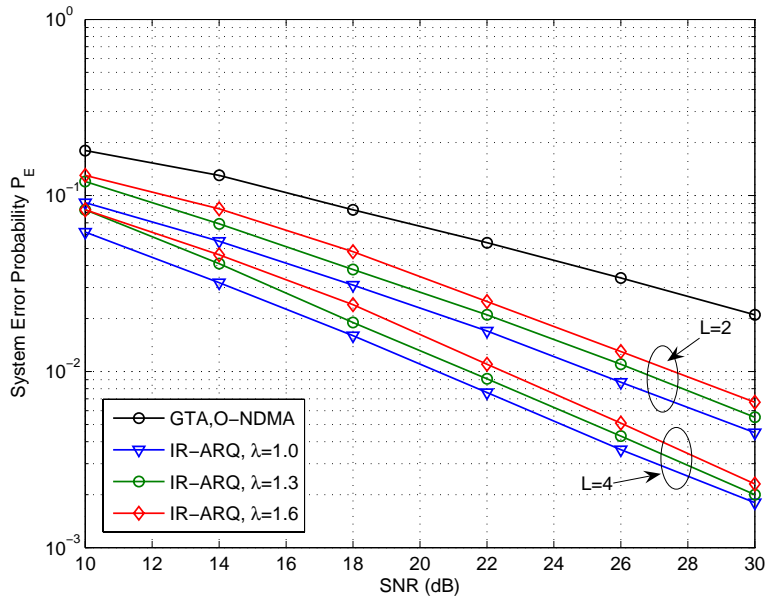

Fig. 3.   System error probability versus SNR for various two-user scalar random access systems with random arrivals. Here, $r_A = 0.45$.
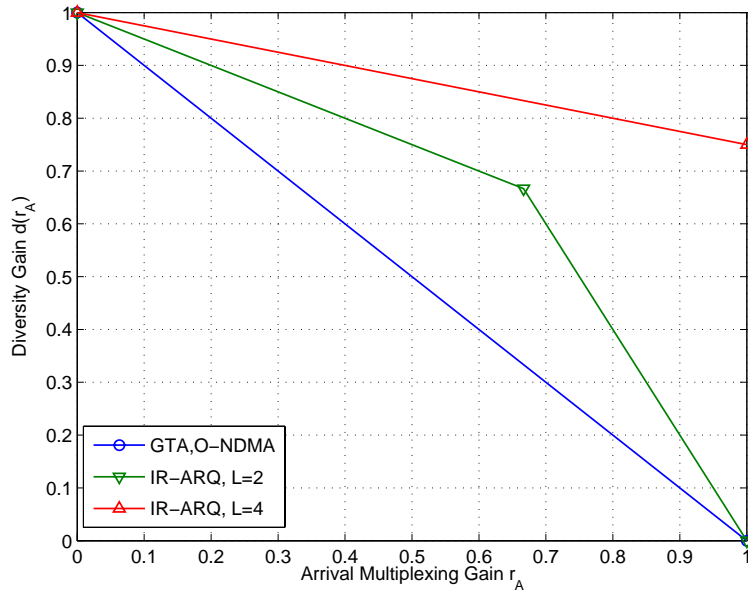
Fig. 4.   Diversity gain versus the arrival multiplexing gain r_A for various two-user scalar random access systems with random arrivals.
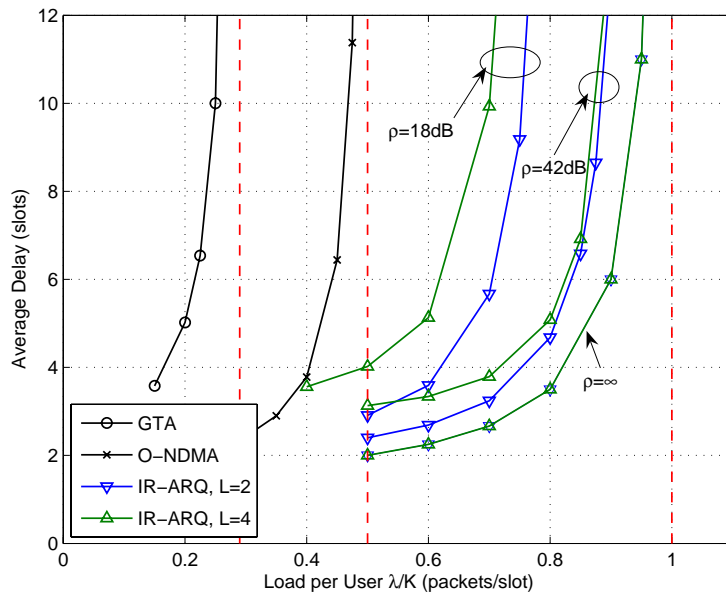

Fig. 5.   Load per user versus average delay for various two-user scalar random access systems with Poisson arrivals. Here, $r_A = 0.45$.
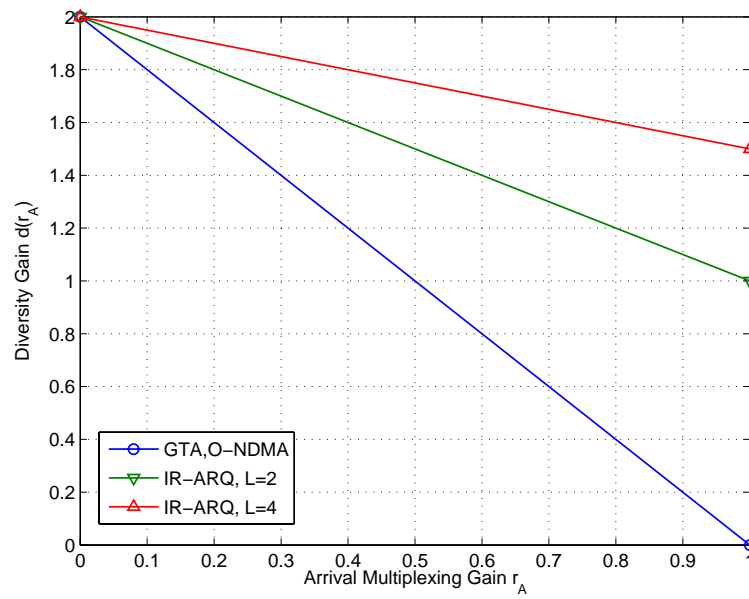
Fig. 6. Diversity gain versus the arrival multiplexing gain r_A for various two-user vector random access systems with random arrivals.